\* AIS31 evaluation tests \*

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date, time: 10/05/2016, 13:27:59

tested file: prg320p85.dat size of file: 10240000 bytes

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Introduction

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The purpose of the following tests is to evaluate the suitability of a true (physical) random number generator for cryptographic applications. In [1] an evaluation methodology for physical random number generators has been proposed by the German Federal Security Agency. In the mathematical-technical reference to [1], five tests are defined for the P2-evaluation of a physical random number generator (cf. [3] and [4]) which are implemented in the following tests 1-5.

Results of test 1 (test (P2.i) (vii.a) of AIS 31, cf. [3] and [4])

In this test, the relative frequency r of bit 1 ocurring in the first 100000 bits of the bit sequence is computed. Then the bit sequence passes the test if |r - 0.5| < 0.025.

test scope: first 100000 bits

number of ones: 49686

relative frequency: 0.496860 test value: 0.00314000 < 0.025

sequence passes test 1

Results of test 2 (test (P2.i)(vii.b) of AIS 31, cf. [3] and [4])

In this test, two disjoint sub-sequences TF(0) and TF(1) of bit pairs are considered where TF(i) consists of the first 100000 bit pairs of the form (i,x) occurring in the bit sequence after the test scope of test 1. Let v(i,j) denote the relative frequency of all bit pairs of the form (i,j) in TF(i). Then the bit sequence passes the test

if |v(0,1) + v(1,0) - 1| < 0.02.

number of 2-bit words looked up: 200184

relative frequency v(0,1): 0.496140 relative frequency v(1,0): 0.503330

test value: 0.00053000 < 0.02

sequence passes test 2

Results of test 3 (test (P2.i)(vii.c) of AIS 31, cf. [3] and [4])

In this test, 4 disjoint sub-sequences  $TF(0,0),\ldots$ , TF(1,1) of 3-tupels are considered where TF(i,j) consists of the first 100000 3-tupels of bits of the form (i,j,x) occurring in the bit sequence after the test scope of test 2. For every i,j in  $\{0,1\}$ , let S(i,j) denote the sub-sequence of all bits k such that (i,j,k) is element of TF(i,j). Then sample S(0,j) is compared with S(1,j) for every j=0,1. In this context, a comparison of two bit sequences g and g of equal length is performed by a computation of the test value g resp. g is the number of bit g occurring in sequence g resp. g in the test value for the comparison of S(0,j) with S(1,j). Then the bit sequence passes the test if g in g is g of g of g.

number of 3-bit words looked up: 404565 test value t\_1: 0.278480 <= 15.13 test value t 2: 0.237623 <= 15.13

sequence passes test 3

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Results of test 4 (test (P2.i) (vii.d) of AIS 31, cf. [3] and [4])

In this test, 8 disjoint sub-sequences  $TF(0,0,0),\ldots$ , TF(1,1,1) of 4-tupels are considered where TF(i,j,k) consists of the first 100000 4-tupels of bits of the form (i,j,k,x) occurring in the bit sequence after the test scope of test 3. For every i,j in  $\{0,1\}$ , let S(i,j,k) denote the sub-sequence of all bits b such that (i,j,k,b) is an element of TF(i,j,k). Then sample S(0,j,k) is compared with S(1,j,k) for every j,k of  $\{0,1\}$ . In this context, a comparison of two bit sequences g and h of equal length is performed by a computation of the test value  $t = (g_0 - h_0)^2 / (g_0 + h_0) + (g_1 - h_1)^2 / (g_1 + h_1)$  where  $g_i$  resp.  $h_i$  is the number of bit i occurring in sequence g resp. h. Let  $t_j$ k be the test value for the comparison of S(0,j,k) with S(1,j,k). Then the bit sequence passes the test if  $t_j$ k < 15,13 for all j,k of  $\{0,1\}$ .

number of 4-bit words looked up: 813400 test value t\_00: 0.003920 <= 15.13 test value t\_01: 3.136351 <= 15.13 test value t\_10: 0.044180 <= 15.13 test value t\_11: 0.297687 <= 15.13

sequence passes test 4

Results of test 5 (test (P2.i)(vii.e) of AIS 31, cf. [3] and [4])

In this test, the Coron test with the parameters L = 8, Q = 2560, and K = 256000 is performed (cf. [2]). For the first Q+K 8-bit-words after the test scope of test 4, the test value f of the Coron test is computed. The bit sequence passes the test if f > 7.976.

8-bit words looked up: 2560 + 256000 bytes f-value: 7.99737503 7.99737503 > 7.976

sequence passes test 5

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## References

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[1] AIS 31: Functionality Classes and Evaluation Methodology for Physical Random Number Generators. Version 1 (25.09.2001), (mandatory if a German IT security certificate is applied for; English translation).

available at www.bsi.bund.de/zertifiz/zert/interpr/ais31e.pdf

- [2] J.- S. Coron: On the Security of Random Sources. In: Public Key Cryptography PKC 99. Lecture Notes in Computer Science, Vol. 1560, 29-42, Springer-Verlag, 2002.
- [3] W. Killmann and W. Schindler: A Proposal for: Functionality Classes and Evaluation Methodology for True (Physical) Random Number Generators. Version 3.1 (25.09.2001), mathematical-technical reference of [1] (English Translation); available at www.bsi.bund.de/zertifiz/zert/interpr/trngk31e.pdf
- [4] W. Schindler and W. Killmann: Evaluation Criteria for True (Physical) Random Number Generators Used in Cryptographic Applications. In: Cryptographic Hardware and Empedded Systems CHES 2002. Lecture Notes in Computer Science, Vol. 2523, 431-449, Springer-Verlag, 2002.